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**CS144**  
**Intro to Computer Networks**  
**Midterm Exam – Wednesday, November 3rd, 2021**  
**Rules: 2 note pages, closed book, computers off**

Your Name:           **Answers**          

SUNet ID:           **root**                     **@stanford.edu**          

**In accordance with both the letter and the spirit of the Stanford Honor Code, I neither received nor provided any assistance on this exam.**

**Signature:** \_\_\_\_\_

Check if you would like exam routed back via SCPD:

- The exam has ?? questions totaling ?? points.
- You have 90 minutes to complete them.
- Please keep your answers concise. You may lose points for a correct answer that also includes incorrect or irrelevant information.
- If you would like to make any additional commentary on a multiple-choice answer, please write it below the answer section, but nothing additional is necessary to receive full credit.
- Please box your final answers.

## I Layers and abstraction

### 1. [6 points]:

Which of the following are idempotent operations? Choose **all** that apply.

- A** Making a DNS request to look up the IP address corresponding to a domain name
- B** Sending an HTTP POST request (a client uses a POST request to "post" data to a server, i.e. to submit a form, transfer money from a bank account, write a comment on social media, etc.)
- C** Sending an HTTP DELETE request (a client uses DELETE to delete a specified resource from a server; assume that if a server receives a request to delete a resource that does not exist, it does nothing.)
- D** Inserting a specific element into a set
- E** Incrementing an integer variable by 8
- F** Setting an integer variable to 8

### Answer:

*We consider an operation to be idempotent if the **operation** does not **create a different result** if it is performed once compared with if it is performed  $n$  ( $n > 1$ ) times. Note that this includes operations that may produce different outcomes on successive executions, as long as any difference in outcome is unrelated to the fact of the operation being performed multiple times.*

*(We discussed this during lecture 3 in the context of reliability protocols.)*

*A few notes on (a):*

- Some of you argued that (a) is not idempotent, because the IP address of the website could change. We argue that, if the IP address of the website changes, it has nothing to do with how many times a client made the DNS request. In other words, reading the value of a variable is idempotent, even if that variable is mutable and somebody else could change it. The question is whether it matters whether the operation happens 1 or multiple times, not about whether the operation's return value is constant.*
- Some of you argued that (a) is not idempotent because of cacheing – if a request is made once, the response may be cached. This is a bit tricky, but we would ultimately argue that cacheing is part of the **implementation** but does not impact the result of the **specific operation we are asking about**, which is "performing a DNS request **to look up the IP address corresponding to a domain name.**" The IP address part of the record is not affected by the fact of multiple requests.*

**2. [6 points]:**

Your computer sends out a DNS request over an Ethernet (link layer) connection.

- (a) What information is included in the first byte of the Ethernet frame's payload?

**Circle the best answer.**

- A TCP port
- B IP version
- C DNS transaction ID (first field of DNS request)
- D UDP source port

- (b) What number is in the protocol field of the IP header?

**Circle the best answer.**

- A 17 (UDP)
- B 53 (DNS destination port number)
- C 1 (ICMP)
- D 4 (IPv4)
- E 144 (your favorite class)

- (c) If you were to open Wireshark and examine the packet, what headers would you observe, from outermost to innermost?

**Answer:**

*Ethernet (or other link layer), IP, UDP, DNS*

**Notes:**

- (a) Note that the "payload" of an Ethernet frame is whatever data is encapsulated within the Ethernet frame – including subsequent headers.*
- (b) It might be helpful to look up the fields in an IPv4 header. Note that the protocol field specifies the protocol of the next encapsulated header, after the IP header. A receiver will examine this to determine how to interpret bytes that come after the IP header.*
- (c) We also accepted TCP as a transport layer protocol, since DNS can be implemented on top of TCP (though all of the DNS examples in class have run over UDP).*

**3. [2 points]:**

You are an engineer at an Internet company, and your team decides to implement an improved, but still reliable, version of TCP. They want this implementation to exist in userspace and to be distinct from the OS-provided TCP functionality.

Coworker A proposes implementing this using UDP sockets (i.e., "TCP over UDP"). This means that the program will open and write TCP segments, including TCP headers, to a UDP socket. The OS will then encapsulate each TCP segment within a UDP datagram before sending it out.

Coworker B argues that this will never work: "TCP and UDP are opposites. TCP is reliable, whereas UDP is unreliable. Our program needs to open a TCP socket if it wants to be reliable."

Who is correct?

- A Coworker A
- B Coworker B

**Answer:**

*One thing to think about for this: TCP usually runs over IP. Is IP reliable? How does TCP provide reliability, even though it's running over an unreliable protocol?*

*Fun fact: an HTTP protocol called QUIC actually (sort of) runs TCP over UDP! They implement custom, TCP-like reliability guarantees, then encapsulate packets in UDP headers before sending them out.*

*This Ed post might also help in thinking through how something like this could be implemented: <https://edstem.org/us/courses/14593/discussion/803116>*

**4. [4 points]:**

While watching a recorded lecture on Canvas, you open a second browser tab to scroll through your favorite cat-related blog.

You can assume that these are the only applications connecting to the Internet on your machine, that each tab (Canvas + cat blog) opens TCP sockets, and that your machine has one IP address.

Given this, when a TCP segment arrives, how does the OS figure out which application to deliver it to? (In other words, what does your OS do to make sure that a cat doesn't end up in your lecture video?)

**Answer:**

*A full-credit answer will talk about the role of the "port" fields in TCP headers, which the operating system can associate with sockets in order to receive traffic on multiple distinct services or applications running on one machine.*

*This is a form of "multiplexing", a fancy technical term for sharing a resource. (In this case, multiple programs can share one local IP address, as long as they can create different socket addresses, such as by assigning them different local TCP ports).*

*A fantastic answer might draw on later lectures to be more precise: an operating system associates connected client TCP sockets with a local (TCP port, IP address) pair and a remote (TCP port, IP address) pair, then disambiguates incoming TCP segments by examining these fields.*

## II Routing

In the diagram below, circles represent routers, lines represent links, letters are router names, and numbers indicate link costs.

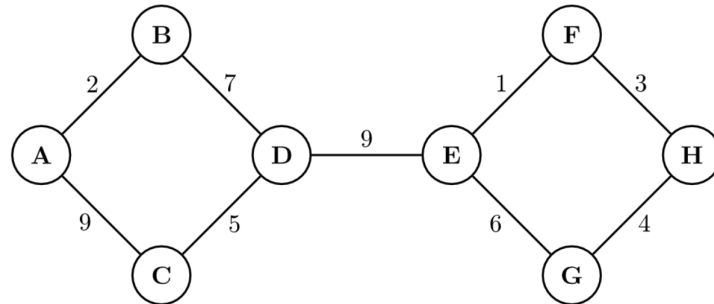


Figure 1: Image description: Graph depicting a network with 8 nodes labeled A through H. Edges connecting nodes as follows: A to B with cost 2, A to C with cost 9, B to D with cost 7, C to D with cost 5, D to E with cost 9, E to F with cost 1, E to G with cost 6, F to H with cost 3, G to H with cost 4.

Throughout the following questions, you may interpret “shortest” and “longest” to mean “lowest-cost” and “highest-cost”.

5. [8 points]:

- (a) For which pair of routers is the shortest path between them the longest?  
Please give your answer as a pair of router names; for example ‘A, B’.

**Answer:**

A, G

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- (b) What is the cost of this path (the shortest path between the two routers you identified in part (a))? Please give your answer as a single positive integer.

**Answer:**

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- (c) Which edges should be removed to create a shortest-path spanning tree rooted at router C?
- A A–B and E–F
  - B A–C and E–G
  - C A–C and D–E
  - D C–D and G–H
  - E** B–D and G–H

**Answer:**

*E*

- (d) Imagine this network uses the simplified form of the Bellman–Ford algorithm that we saw in lecture to build its routing tables, in which all routers exchange information with their neighbors in lock-step. If all routers start from scratch (i.e., distance vectors initialized to infinity), how many steps will it take for the network to reach its final routing table configuration? Please give your answer as a single positive integer.

**Answer:**

*5*



### III Fair Queuing

In this question, we introduce you to a simple queuing mechanism that implements fair queuing and has some additional properties. We encourage you to read the entire section before starting the questions.

In this network, all routers support **per-flow round robin (RR)** queuing on the outgoing links and have **sufficient buffer to never cause drops (packet loss)**.

In per-flow round robin (RR), the sending endpoint assigns each packet to a flow, and on the router, each flow has its own queue. The queues are listed in some order. The RR scheduler transmits one packet from the first queue in order, then one packet from the next queue, and so on. After transmitting a packet from the last occupied queue, the RR scheduler repeats the process, starting from the first queue. No queue has priority, and the link rate is shared equally among the queues. **A different queue is maintained for each flow.**

In this scenario, the senders implement no congestion-control protocol. The receiver-advertised window is a fixed amount  $W$ —a window big enough to keep the links busy.

Please answer the questions below with respect to the following network diagram:

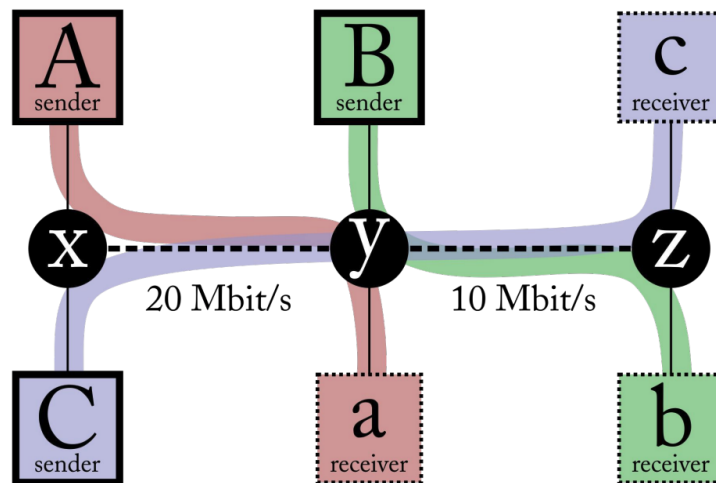


Figure 2: Image description: A network with three flows (A, B, C), three routers (x, y, z), and two links. The flow “A” goes over the link from “x” to “y”, which has a link rate of 20 Mbit/s. The flow “B” goes over the link from “y” to “z”, which has a link rate of 10 Mbit/s. The flow “C” goes over the path from “x” to “y” to “z”, crossing both links.

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6. [12 points]:

(a) What will be the throughput of each flow?

**Answer:**

*A: 15 Mbit/s, B: 5 Mbit/s, C: 5 Mbit/s*

(b) What is the total throughput of the system?

**Answer:**

*25 Mbit/s*

(c) What is the goodput (throughput of bytes coming out of the TCP receiver's ByteStream) of each flow and of the system?

**Answer:**

*Same as above*

(d) What type of resource allocation or fairness is the outcome of this system?

**Answer:**

*max-min fairness*

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(e) Explain why each flow's throughput will converge to this type of fairness

**Answer:**

*At the bottleneck router, buffer will begin to build up for each flow. However, once this becomes sufficiently large ( $W$ -BDP), the sender will send additional packets only on receiving ACKs, which will be received at the bottleneck throughput. Given this and that each router implements round-robin queueing, the worst off flow's throughput will be maximized (since all flows at the bottleneck link get equal share of the link). Therefore, this system converges to max-min fairness.*

## IV Packet Switching and AIMD

In this question, we are going to study a topology with two links and a single switch in between, and a single flow across this topology. We will first calculate the various sources of packet delays across the two links. We will then study the dynamics of the window size curve of a TCP sender that uses AIMD (additive increase, multiplicative decrease).

In the topology below,  $r_2 < r_1$ . Each link can transmit packets in both directions independently.

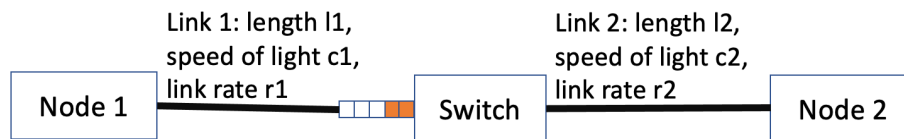


Figure 3: Image description: There are two nodes, node 1 and node 2, with a switch in between (and the switch contains a buffer with a queue for packets that are waiting to be sent out). node 1 is connected to the switch through link 1, and node 2 is connected to the switch through link 2. link 1 has length  $l_1$  and link rate  $r_1$ ; the speed of light through link 1 is  $c_1$ . link 2 has length  $l_2$  and link rate  $r_2$ ; the speed of light through link 2 is  $c_2$ .  $r_2$  is less than  $r_1$ ; each link can transmit packets in both directions independently.

For all answers that require numerical expressions, please express the answer in terms of  $r_1$ ,  $r_2$ ,  $l_1$ ,  $l_2$ ,  $c_1$ ,  $c_2$ , and any additional variables introduced within the sub-problem.

### 7. [18 points]:

- (a) What are the components that contribute to the overall delay between when a packet is created by an endpoint and when it is received at another endpoint?

#### Answer:

*propagation/transmission, serialization OR packetization, queuing.*

*Note: the word "components" is slightly ambiguous; we accepted answers that described where propagation, serialization and queuing delay come from but didn't explicitly name them.*

- (b) Assume that the switch is store-and-forward, and assume that there is no other traffic in the network (the router's buffer is always empty). In this case, what is the total, one-way delay for a packet of size  $p$  traveling from node 1 to node 2?

**Answer:**

$$p/r_1 + p/r_2 + l_1/c_1 + l_2/c_2$$

- (c) Now assume that there is other traffic in the network, such that the switch's queue always has  $b$  packets in it (the switch is still store-and-forward). If the switch buffer constantly has  $b$  packets, now, what is the total one-way, end-to-end delay experienced by a packet of size  $p$  traveling from node 1 to node 2?

**Answer:**

$p/r_1 + p/r_2 + l_1/c_1 + l_2/c_2 + (b * p)/r_2$ , assuming that the packets in the buffer are all size  $p$ . We realized this question was underspecified: it didn't specify that all the packets in the buffer are of size  $p$ . We ended up giving points to everyone, and 1 extra point if people named the intended answer.

- (d) What is the maximum possible throughput between node 1 and node 2?

**Answer:**

$$r_2$$

- (e) What is the time between when the sender sends a packet, and when it can receive an acknowledgement for the data in that packet, when there is no queuing? This is called the minimum round-trip time (MinRTT).

**Answer:**

$(2 \times (l_1/c_1 + l_2/c_2) + p/r_1 + p/r_2)$  (packetization delay not doubled)  
 OR  $2 \times (l_1/c_1 + l_2/c_2 + p/r_1 + p/r_2)$  (packetization delay doubled). We realize this question was underspecified, with respect to how long an ack takes to travel back.

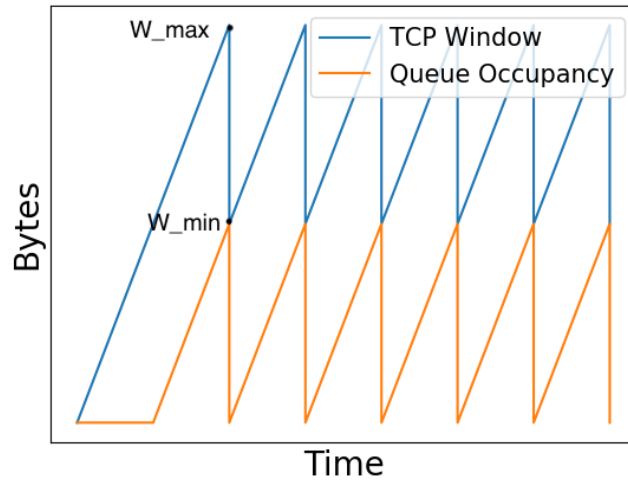


Figure 4: Image description: Picture shows a graph of two lines: the congestion window over time for a TCP AIMD sender, and the queue occupancy of a switch's output queue. The x-axis shows time; the y-axis shows two lines: one for window size and one for queue occupancy (both measured in bytes). At the beginning, the sender's congestion window increases to  $W_{max}$ , while the queue occupancy increases to  $W_{min}$ . Then, the congestion window drops vertically to  $W_{min}$ , while the queue occupancy drops vertically. The graph repeats forever in a sawtooth pattern. The congestion window and queue occupancy begin increasing again until they reach the same thresholds, drop to the same minimum values and then increase to the same maximum values.

- (f) Now let's consider the dynamics of a TCP AIMD sender. Recall that the graph of the congestion window over time looks like a sawtooth (Figure ??). As ACKs are arriving for bytes successfully received, the window increases by 1 segment per RTT. When a loss is observed, the window is reduced. For a standard TCP AIMD sender, what is the relationship between the labeled  $W_{min}$  and  $W_{max}$  on the curve?

**Circle the best answer.**

- A  $W_{min} = W_{max}/3$   
 B  $W_{min} = 2 * W_{max}/3$   
 C  $W_{min} = W_{max}/2$

**Answer:**

C

- (g) What is the optimal buffer size (maximum queue occupancy at the router) such that the link remains always fully utilized, but queueing is minimal? Assume that the delay for an acknowledgement to go from the receiver to the sender is equal to the forward delay without queueing. Please express your answer in terms of the constants given above (e.g.  $r_1$ ,  $l_1$ , etc.).

**Answer:**

$$1 \text{ BDP} = r_2 \times 2 \times (p/r_1 + p/r_2 + l_1/c_1 + l_2/c_2)$$

- (h) What happens to  $W_{min}$  and  $W_{max}$  if  $l_1$  (the length of link 1) increases (assume that the buffer size at the switch is re-adjusted to a new optimal value, if necessary).

**Circle the best answer.**

- A maximum increases, minimum decreases
- B maximum decreases, minimum increases
- C both decrease
- D both increase
- E nothing

**Answer:**

D

- (i) Why? (two sentences max)

**Answer:**

*Increasing the length of link 1 increases the delay on link 1, which causes the minimum RTT of a packet across the topology to increase, causing the BDP to increase. When the link is fully utilized,  $W_{max}$  is 2BDP and  $W_{min}$  is 1 BDP.*